

Please type a plus (+) sign in this box →

01-23-01

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UTILITY PATENT APPLICATION TRANSMITTAL

Valid for new non-provisional applications under 37 C.F.R. § 1.53(B)

Attorney Docket No. M61.12-0338

First Inventor or Application Identifier Philipp H. Schmid et al.

Title METHOD AND APPARATUS FOR REDUCING
LATENCY IN SPEECH-BASED APPLICATIONS

Express Mail Label No. EL636004513US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)

2. Specification [Total Sheets 34] (preferred arrangement set forth below
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

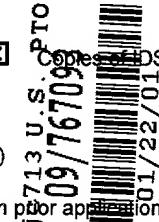
3. Drawing(s) (35 U.S.C. § 113) [Total Sheets 7]

4. Oath or Declaration [Total Sheets 3]
a. Newly executed (original or copy)
b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (Identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney
9. English Translation Document
10. Information Disclosure Statement (IDS/PTO – PTO) IDS
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503) RRP
13. *Small Entity Statement(s) Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Request and Cert. Under 35 USC 122 (Non-Pub)
16. Other: Our checks for \$782.00 & \$40.00



* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment. Continuation Divisional Continuation –in part (CIP)

of prior application No: _____

Prior application information.

Examiner _____

Group/Art Unit. _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name	Theodore M. Magee WESTMAN CHAMPLIN & KELLY			
Address	Suite 1600 – International Centre 900 South Second Avenue			
City	Minneapolis	State	MN	Zip Code
Country	USA	Telephone	(612) 334-3222	Fax
Name (Print/type)		Registration No. (Attorney/Agent)		39,758
Signature				Date

Name (Print/type)	Theodore M. Magee		Registration No. (Attorney/Agent)	39,758
Signature			Date	1/22/01

FEE TRANSMITTAL

Complete if Known																																																																																																																																																				
Application No.																																																																																																																																																				
Filing Date			HEREWITH																																																																																																																																																	
First Named Inventor			Philipp H. Schmid																																																																																																																																																	
Title			METHOD AND APPARATUS FOR REDUCING LATENCY IN SPEECH-BASED APPLICATIONS																																																																																																																																																	
Group Art Unit																																																																																																																																																				
Examiner Name																																																																																																																																																				
Total Amount of Payment \$ 782			Atty. Docket Number M61.12-0338																																																																																																																																																	
METHOD OF PAYMENT (Check One)			FEE CALCULATION (Continued)																																																																																																																																																	
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champkin & Kelly, P.A.			3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th colspan="3"></th> <th rowspan="2" style="text-align: right; vertical-align: bottom;">Fee Paid</th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th>Code</th> <th>(\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> <td style="text-align: right; vertical-align: bottom;">jc713 U.S. PRO 09/767093 01/22/01</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - Late provisional Filing Fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For Filing a Request for Reexamination</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>280</td> <td>945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to Revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,240</td> <td>241</td> <td>620</td> <td>Petition to Revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,270</td> <td>242</td> <td>650</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>143</td> <td>470</td> <td>243</td> <td>250</td> <td>Design issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td colspan="3">Other Fee (specify) _____</td> <td colspan="3"></td> </tr> <tr> <td colspan="3">Subtotal (2) \$ 72</td> <td colspan="3">Subtotal (3) \$</td> </tr> </tbody> </table>			Large Entity Fee	Small Entity Fee				Fee Paid	Code	(\$)	Code	(\$)	Fee Description	105	130	205	65	Surcharge - Late filing fee or oath	jc713 U.S. PRO 09/767093 01/22/01	127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For Filing a Request for Reexamination		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	280	945	Extension for reply within fifth month		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		148	110	248	55	Terminal Disclaimer Fee		140	110	240	55	Petition to Revive - unavoidable		141	1,240	241	620	Petition to Revive - unintentional		142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)		143	470	243	250	Design issue fee (inc. advance copies)		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Statement		581	40	581	40	Recording each patent assignment per property (times number of properties)		Other Fee (specify) _____						Subtotal (2) \$ 72			Subtotal (3) \$		
Large Entity Fee	Small Entity Fee				Fee Paid																																																																																																																																															
Code	(\$)	Code	(\$)	Fee Description																																																																																																																																																
105	130	205	65	Surcharge - Late filing fee or oath	jc713 U.S. PRO 09/767093 01/22/01																																																																																																																																															
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet																																																																																																																																																
139	130	139	130	Non-English specification																																																																																																																																																
147	2,520	147	2,520	For Filing a Request for Reexamination																																																																																																																																																
115	110	215	55	Extension for reply within first month																																																																																																																																																
116	390	216	195	Extension for reply within second month																																																																																																																																																
117	890	217	445	Extension for reply within third month																																																																																																																																																
118	1,390	218	695	Extension for reply within fourth month																																																																																																																																																
128	1,890	280	945	Extension for reply within fifth month																																																																																																																																																
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																
121	270	221	135	Request for oral hearing																																																																																																																																																
148	110	248	55	Terminal Disclaimer Fee																																																																																																																																																
140	110	240	55	Petition to Revive - unavoidable																																																																																																																																																
141	1,240	241	620	Petition to Revive - unintentional																																																																																																																																																
142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)																																																																																																																																																
143	470	243	250	Design issue fee (inc. advance copies)																																																																																																																																																
122	130	122	130	Petitions to the Commissioner																																																																																																																																																
123	50	123	50	Petitions related to provisional applications																																																																																																																																																
126	240	126	240	Submission of Information Disclosure Statement																																																																																																																																																
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																
Other Fee (specify) _____																																																																																																																																																				
Subtotal (2) \$ 72			Subtotal (3) \$																																																																																																																																																	
2. <input checked="" type="checkbox"/> Check Enclosed																																																																																																																																																				
FEE CALCULATION																																																																																																																																																				
1. BASIC FILING FEE <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th colspan="3"></th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th>Code</th> <th>(\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td><input checked="" type="checkbox"/> Utility Filing Fee</td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td><input type="checkbox"/> Design Filing Fee</td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td><input type="checkbox"/> Reissue Filing Fee</td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td><input type="checkbox"/> Prov Filing Fee</td> </tr> <tr> <td colspan="5" style="text-align: right;">Subtotal (1) \$ 710</td> </tr> </tbody> </table>						Large Entity Fee	Small Entity Fee				Code	(\$)	Code	(\$)	Fee Description	101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee	106	320	206	160	<input type="checkbox"/> Design Filing Fee	108	710	208	355	<input type="checkbox"/> Reissue Filing Fee	114	150	214	75	<input type="checkbox"/> Prov Filing Fee	Subtotal (1) \$ 710																																																																																																																
Large Entity Fee	Small Entity Fee																																																																																																																																																			
Code	(\$)	Code	(\$)	Fee Description																																																																																																																																																
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee																																																																																																																																																
106	320	206	160	<input type="checkbox"/> Design Filing Fee																																																																																																																																																
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee																																																																																																																																																
114	150	214	75	<input type="checkbox"/> Prov Filing Fee																																																																																																																																																
Subtotal (1) \$ 710																																																																																																																																																				
2. EXTRA CLAIM FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Number Claims</th> <th style="text-align: left;">Prior**</th> <th style="text-align: left;">Extra</th> <th style="text-align: left;">Fee from Below</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total</td> <td>24</td> <td>20</td> <td>4</td> <td>18 72</td> </tr> <tr> <td>Indep.</td> <td>3</td> <td>3</td> <td>0</td> <td>80 0</td> </tr> <tr> <td colspan="6">Multiple Dependent Claims</td> </tr> </tbody> </table>						Number Claims	Prior**	Extra	Fee from Below	Fee Paid	Total	24	20	4	18 72	Indep.	3	3	0	80 0	Multiple Dependent Claims																																																																																																																															
Number Claims	Prior**	Extra	Fee from Below	Fee Paid																																																																																																																																																
Total	24	20	4	18 72																																																																																																																																																
Indep.	3	3	0	80 0																																																																																																																																																
Multiple Dependent Claims																																																																																																																																																				
** Insert 3 and 20, or number previously paid if greater, Reissue see below																																																																																																																																																				
Large Entity Small Entity <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Fee</th> <th style="text-align: left;">Fee</th> <th style="text-align: left;">Fee</th> <th style="text-align: left;">Fee</th> <th colspan="2">Description</th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th>Code</th> <th>(\$)</th> <th colspan="2"></th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td colspan="2">Claims in excess of 20</td> </tr> <tr> <td>102</td> <td>80</td> <td>202</td> <td>40</td> <td colspan="2">Independent claims in excess of 3</td> </tr> <tr> <td>104</td> <td>270</td> <td>204</td> <td>135</td> <td colspan="2">Multiple Dependent Claims</td> </tr> <tr> <td>109</td> <td>80</td> <td>209</td> <td>40</td> <td colspan="2">Reissue Independent Claims over Original Patent</td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td colspan="2">Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="3"></td> <td colspan="3" style="text-align: right;">Subtotal (2) \$ 72</td> </tr> </tbody> </table>						Fee	Fee	Fee	Fee	Description		Code	(\$)	Code	(\$)			103	18	203	9	Claims in excess of 20		102	80	202	40	Independent claims in excess of 3		104	270	204	135	Multiple Dependent Claims		109	80	209	40	Reissue Independent Claims over Original Patent		110	18	210	9	Reissue claims in excess of 20 and over original patent					Subtotal (2) \$ 72																																																																																																	
Fee	Fee	Fee	Fee	Description																																																																																																																																																
Code	(\$)	Code	(\$)																																																																																																																																																	
103	18	203	9	Claims in excess of 20																																																																																																																																																
102	80	202	40	Independent claims in excess of 3																																																																																																																																																
104	270	204	135	Multiple Dependent Claims																																																																																																																																																
109	80	209	40	Reissue Independent Claims over Original Patent																																																																																																																																																
110	18	210	9	Reissue claims in excess of 20 and over original patent																																																																																																																																																
			Subtotal (2) \$ 72																																																																																																																																																	

Signature Theodore M. Magee
(Theodore M. Magee)

Reg. No. 39,758

Date 1-22-01

Deposit Account No. 23-1123